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Atty Docket No. 020144-002110US

PTO FAX NO.: (703)746-9195

ATTENTION: Office of Initial Patent Examination's Filing Receipt Corrections

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**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following document(s) in re Application of Steffen Nock, et al., Application No. 10/701,887, filed November 4, 2003 for METHODS FOR MAKING ANTIBODY FRAGMENTS AND COMPOSITIONS RESULTING THEREFROM is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Request for a Corrected Filing Receipt;
2. Marked-up Filing Receipt;
3. Copy of Utility Transmittal; and
4. page 2 of Prel. Amd. filed on 11/04/03.

Number of pages being transmitted, including this page: 11

Dated: March 25, 2004

*Kathryn A. Deglantos*  
Kathryn A. Deglantos

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60175034 v1

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**PATENT**  
Attorney Docket No.: 020144-002110US

TOWNSEND and TOWNSEND and CREW LLP

By: Katherine A. Doggett

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Steffen Nock, et al.

Application No.: 10/701,887

Filed: November 4, 2003

For: METHODS FOR MAKING  
ANTIBODY FRAGMENTS AND  
COMPOSITIONS RESULTING  
THEREFROM

Customer No.: 20350

Confirmation No. 6724

Examiner: Not Yet Assigned

Technology Center/Art Unit: 1653

**REQUEST FOR CORRECTED FILING  
RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a copy of a Filing Receipt received from the Patent and Trademark Office in the above-identified patent application for which issuance of a corrected Filing Receipt is respectfully requested.

Under Domestic Priority data as claimed by applicant, please change "CON" to "DIV". Copies of the Utility Transmittal and page 2 of the Preliminary Amendment which were filed with the application on 11/04/03 are enclosed. The box for filing a divisional application (18) was checked on the Utility Transmittal. Page 2 of the Preliminary Amendment lists the application as a divisional under Cross References of Prior Applications.

Appl. No. 10/701,887  
Request dated 3/25/04  
Request for Corrected Filing Receipt

PATENT

A corrected Supplemental Application Data Sheet is also enclosed.

Respectfully submitted,

  
Kenneth E. Jenkins, Ph.D.  
Reg. No. 51,846

TOWNSEND and TOWNSEND and CREW LLP  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/701,887	11/04/2003	1653	385	020144-002110US	4	3	1

20350  
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 TWO EMBARCADERO CENTER  
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 SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 6724

## FILING RECEIPT

OC000000011833844

Date Mailed: 02/05/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Steffen Nock, Redwood City, CA;  
 David S. Wilson, Hayward, CA;  
 Jiangchun Wu, Fremont, CA;

## Assignment For Published Patent Application

Zyonyx, Inc., Hayward, CA;

## Domestic Priority data as claimed by applicant

This application is a CCON of 09/882,814 06/14/2001  
 DIV

## Foreign Applications

If Required, Foreign Filing License Granted: 02/03/2004

Projected Publication Date: 05/13/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

b3b  
1240248

**Title**

Methods for making antibody fragments and compositions resulting therefrom

**Preliminary Class**

435

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PTO/SB/05 (08-03)

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No.	020144-002110US
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor	Nock , Steffen
		Title	METHODS FOR MAKING ANTIBODY FRAGMENTS AND COMPOSITIONS RESULTING THEREFROM
		Express Mail Label No.	EV 330860050US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning design patent application contents.</small>		<b>ADDRESS TO</b>	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <i>(Total Pages 23)</i> <i>(preferred arrangement set forth below)</i>            - Descriptive title of the invention            - Cross Reference to Related Applications            - Statement Regarding Fed sponsored R &amp; D            - Reference to sequence listing, a table,            or a computer program listing appendix            - Background of the Invention            - Brief Summary of the Invention            - Brief Description of the Drawings (<i>if filed</i>)            - Detailed Description            - Claim(s)            - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 U.S.C. 113)</i> <i>(Total Sheets 4)</i></p> <p>5. Oath or Declaration <i>(Total Pages 4)</i>            a. <input type="checkbox"/> Newly executed (original or copy)            b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i>            i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i></p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<small>Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</small> <p>7. <input type="checkbox"/> CD-ROM or CD-R In duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:            i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or            ii. <input type="checkbox"/> paper number of pages</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>	
<b>ACCOMPANYING APPLICATIONS PARTS</b>			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO/SB/8B <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. <input checked="" type="checkbox"/> Other: Petition to Accept Drawings</p>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-In-part (CIP)

of prior application No: 09/982,814

Art Unit: 1644

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number		20350		OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			
Name (Print/Type)	Kenneth E. Jenkins, Ph.D.		Registration No. (Attorney/Agent)	51,846	
Signature	<i>Kenneth E. Jenkins</i>			Date	November 4, 2003

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COPY

→ Steffen Nock, et al.  
Divisional of Application No.: 09/882,814

PATENT

Amendments to the Specification:

Please insert the following paragraph at page 1, line 5:

CROSS-REFERENCE TO RELATED APPLICATIONS

→ This is a divisional application which claims priority to commonly assigned United States Application No. 09/882,814 filed June 14, 2001, which is herein incorporated by reference in its entirety for all purposes.